



**MARINIS**  
FINANCIAL GROUP

# Privacy Notification Statement

Financial Strategies (SA) Pty Ltd trading as Marinis Financial Group is committed to protecting the privacy of your personal information. Below is some information about how your personal information will be handled.

## Your Financial Advisers and Financial Strategies (SA) Pty Ltd trading as Marinis Financial Group Privacy Information

### Collecting your personal information

Your financial advisers Theo Marinis, Dino D'Aloia and Michael Callisto authorised representatives of Financial Strategies (SA) Pty Ltd, trading as Marinis Financial Group, an Australian Financial Services Licensee, collect your personal information for a variety of purposes. These include providing you with the financial services you have requested such as providing you with financial advice, managing your financial adviser's relationship with you and contacting you about products and services in which you may be interested. Your financial adviser and Financial Strategies (SA) Pty Ltd trading as Marinis Financial Group may also be required to collect your personal information pursuant to legislation and regulatory requirements.

If the personal information requested by your financial adviser is not provided, your financial adviser and Financial Strategies (SA) Pty Ltd trading as Marinis Financial Group may not be able to provide you with financial services, including providing you with financial advice that is appropriate to your investment needs, objectives and financial circumstances.

### Using and disclosing your personal information

Your personal information may be used and disclosed by your financial adviser and Financial Strategies (SA) Pty Ltd trading as Marinis Financial Group for the purposes outlined above and for related purposes.

The types of service providers to whom your financial adviser and Financial Strategies (SA) Pty Ltd trading as Marinis Financial Group may provide your personal information are:

- other financial advisers and organisations involved in providing the financial services you have requested (which may include ongoing services) such as fund managers who assist us in providing financial advice and paraplanners;
- insurance providers, superannuation trustees and product issuers in connection with the provision to you of the financial services you have requested;
- organisations that assist in operating a financial planning business such as those that provide administrative, financial, accounting, insurance, research, legal, computer or other business services;
- your representatives or service providers such as your accountant, solicitor, tax agent, stockbroker or bank;
- organisations involved in a business restructure or a transfer of all or part of the assets of the financial adviser's, their employer's (if any) or Financial Strategies (SA) Pty Ltd trading as Marinis Financial Group business;
- government authorities and other organisations when required by law; and
- organisations to which you have consented to the disclosure of your personal information.

## **Gaining access to your personal information and contacting your financial adviser and Financial Strategies (SA) Pty Ltd trading as Marinis Financial Group**

You can gain access to your personal information held by the financial adviser and Financial Strategies (SA) Pty Ltd trading as Marinis Financial Group. In some circumstances allowed by law request for access can be denied. Your financial adviser and Financial Strategies (SA) Pty Ltd trading as Marinis Financial Group can be contacted by calling (08) 8130 5130.

In the event that you have concerns about the way in which Financial Strategies (SA) Pty Ltd has handled your personal information, and you are not satisfied with the way in which we have addressed those concerns, you may refer the matter to the Office of the Privacy Commissioner. The office can be contacted on 1300 363 992, or you can communicate in writing via the following address.

Office of the Privacy Commissioner  
GPO Box 5218  
SYDNEY NSW 2001

The Office will only use personal information you provide to us to give you advice or to deal with your complaint.